An Approach to Heterogeneous Data Translation based on XML Conversion

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Abstract. In this paper, we illustrate a preliminary approach to the translation of Web data between heterogeneous formats. This work fits into a larger project whose aim is the development of a tool for the management of data described according to a large variety of formats used on the Web and the (semi)automatic translation of schemes and instances from one model to another. Data translations operate over XML representations of instances and rely on a uniform representation of models that we call metamodel. The metamodel shows structural diversities and dictates the needed transformations. Complex translation can be derived by combining a number of predefined basic functions performing XML transformations expressed in XQuery. Practical examples are provided to show the effectiveness of the approach.

1 Introduction

Very often, data cooperation and interchange between different organizations is made difficult by the fact that little or no advance standardization exists and data is stored under different formats in distinct heterogeneous sources [1]. Therefore the need arises for an integrated management of heterogeneous data descriptions that allows for easy and flexible data translation from a format to another [6]. This problem is related to, but different from, the problems of data integration [4] and schema matching [20]. Recently, various aspects of the data translation problem has been largely studied in the context of the relational model [9, 10] or in more general settings [16, 18, 19]. However, it is widely recognized that a general solution able to cope the large diversity of the various formats available is a very difficult task [5].

In this framework, the final goal of our research project is the development of a tool for the management of data available on the Web described according to a large variety of formats and models and the (semi)automatic translation of schemes and instances from one model to another. The tool can be seen as an implementation of the “ModelGen” operator proposed by Bernstein in the context of Model Management Systems [5].

In principle, the set of models managed by the tool should include the majority of the formats used to represent data in Web-based applications: semi-structured models, schema languages for XML, specific formats for e.g. scientific
data, and even traditional conceptual data models. Actually, the set of models is not fixed a priori in the environment we have in mind. A new model $M$ should be definable by the user at run-time and translations for $M$ should be derived by the system with limited user intervention.

Recently, we have proposed a tool for the management and the automatic translation of schemes between the majority of formats and models used to represent data in Web-based applications [21, 22].

The approach relies on a novel notion of *metamodel*, expressed in XML, that embeds, on the one hand, the main primitives adopted by different schema languages for XML [15] and, on the other hand, the basic constructs of traditional database conceptual and logical models [13].

This metamodel provide a uniform representation of models that allows the identification of differences between primitives used in the various models. Then, translations are automatically derived by combining a set of predefined and standard translations between individual primitives.

In this paper, we present a preliminary approach that, building on the translation derived at scheme-level, aims at generating a corresponding translation at instance-level. This translation operates over serialized XML representations of data. XML data is then transformed to agree with the constructs allowed in the target model. Finally, it is deserialized into the specific syntax of the target.

The rest of the paper is organized as follow. In Section 2 we provide a general overview of our approach to model management. In Section 3 we present a new technique for data translation and in Section 4 we illustrate a complete example of translation. Finally, in Section 5 we discuss some open issues and sketch future direction of research.

## 2 A metamodel approach to Model Management

Let us first clarify our terminology. In our framework, we identify four levels of abstractions. At the bottom level we have actual *data* (or *instances*) organized according to a variety of (semi) structured formats (relational tables, XML, HTML, scientific data format and so on). At the second level we have *schemes*, which describe the structure of the instances (a relational schema, a DTD, an XML schema or one of its dialects [15], etc.). Then, we have different formalisms for the description of schemes, which we call *models* hereinafter (e.g., the relational model, the XML schema model or even a conceptual model like the ER model). Finally, we use the term *metamodel* to mean a general formalism for the definition of the various models.

In this framework, a *translation* is defined as follows: given two models $M_1$ and $M_2$ represented by the metamodel, a set of data $D_1$ (the *data source*) of a scheme $S_1$ (the *source scheme*) for $M_1$ (the *source model*), a *translation* of $D_1$
(S₁) into M₂ is a set of data D₂ (the data target) of a scheme S₂ (the target scheme) for M₂ (the target model) containing the same information as D₁.¹

Our approach relies on a metamodel notion made of a set of metaprimitives. Each metaprimitive captures one basic abstraction principle used in some data model [21]. Examples of metaprimitives are: class, attribute, base type, relationship, sequence, generalization, disjoint union, key, foreign key, and so on. In this framework, a model is defined as a set of primitives, each of which is classified according to a metaprimitive of the metamodel. For instance, the relational model offers the table primitive which is an instance of the metaprimitive relationship over basic domains.

In [21, 22] we have proposed a technique and a tool for the management of XML based data model (that is, data models expressed in XML) and the translation of schemes from one model to another. The scheme translation technique makes use of an internal concept, called supermodel, which is used by the system as a reference for the translations. Intuitively, a supermodel is a model (that is, like the other models, an instance of the metamodel) maintained automatically by the system that “subsumes” each other model [21]. The translation process of a scheme can be then seen as composed of a number of steps. First, the scheme is expressed in an internal representation. We are using XML since it is a widely accepted standard for data exchange and allows the description of information at different levels of abstraction. Second, the scheme is translated into the supermodel. This is actually a trivial task since, by definition, every scheme of any model is a scheme of the supermodel. Then, the scheme is transformed by translating primitives used in the source scheme that are not allowed in the target model. This is clearly the more involved step. Therefore, the scheme we have obtained is converted into a format compatible with the target model, but still in the internal representation, and finally translated into the specific syntax of the target model. Again, this last phase is rather trivial.

Note that, with this approach, it suffices to define translations from the supermodel to every other model in order to implement all the possible translations between models. It follows that the number of required translations is linear in terms of the number of models, instead of quadratic, as it would be if the process had to be specified for each pair of models. As the number of primitives is limited, it is possible to predefine a number of basic translations, which can be composed to build more complex translations.

As a first concrete example of scheme translation, let us consider the Order XML Schema reported on the left hand side of Figure 1 (clearly, it does not require an XML conversion) and assume that we need to convert this scheme into a DTD. Assume that the metamodel of reference contains the following metaprimitives: element, attribute, ordered sequence, unordered sequence, choice, base types, cardinality, inheritance, key constraint, and foreign key constraint. Then, the corresponding scheme in the supermodel is reported on the right hand side of

¹ We stress the fact that we are interested into the translation of a data source into a different representation rather than the derivation of a mapping between heterogeneous data sources.
the same figure. In this step the various primitives have been converted into the corresponding metaprimitives. For instance, the primitive all of XML Schema has been turned into the metaprimitive unordered sequence.

![XML Schema and its representation in the supermodel](image1)

**Fig. 1.** An XML Schema and its representation in the supermodel

The left hand side of Figure 2 shows the scheme of the supermodel produced by the tool as the translation of the scheme of Figure 1 into the DTD model. The final target scheme is reported on the right hand side of the same figure. Note that, for instance, the unordered sequence used to define the structure of the element destination of the source has been transformed into an ordered sequence, since unordered sequences are not representable by a DTD.

![Translation of the scheme in Figure 1](image2)

**Fig. 2.** The translation of the scheme in Figure 1
The transformation of the cardinality from 0:10 to 0:N is a clear example of a “semantic loss” due to the limited expressiveness of the target model. In this case, the system stores information about the loss externally, in a file associated with the target scheme. We call this extra information the residual of the scheme. With this solution, it is possible to reverse the translation using the residual.

In the rest of the paper, we illustrate an approach to data translation that, building on the above translation scheme, aims to generate a corresponding translation at instance-level.

3 Data translation

According to the schema translation process, data translation requires a number of phases. First, data is automatically serialized into XML preserving the original structure (a simple example is given in Figure 3). Then, XML data is transformed into a structure that matches with the target scheme (expressed in XML format) produced by the scheme translation process. Finally, data is transformed into the final format according to the specific syntax of the target model. The first and the last phases are rather easy, usually supported by systems, and not always needed when source and/or target are already represented in XML. Therefore, they will not discussed further. We concentrate now our attention on the transformation phase where data, expressed in XML, is restructured according to the target model.

3.1 An approach to model translation

The transformation method proceeds by analyzing the scheme $S_s$ of the input data in the supermodel (see above). For each primitive $C$ used in $S_s$, the system verifies whether the corresponding metaprimitive $C$ is allowed in the target model. If this is not the case, it tries to convert instances of $C$ into a format of another primitive (or a set thereof) available in the target model.
This work is supported by a set of predefined basic procedures $p$ that implements rather standard translations between constructs. Each of these procedures has indeed two components: a schema-level function $f_S$, which performs translations of metaprimitives, and a function $f_I$, which operates at instance level by transforming actual data according to the translations operated by $f_S$. Specifically, these functions must satisfy the following consistency criterium: given a procedure $p[f_S, f_I]$, for each scheme $S$ and each instance $I$ of $S$, it is the case that $f_I(I)$ is an instance of $f_S(S)$. Both $f_S$ and $f_I$ generate residual information (that is, components that have been lost in the translation), as explained above. Representatives of such procedures will be presented in more detail in Section 3.2.

The technique is specified in the algorithm reported in Figure 4. This algorithm generates the target scheme and a transaction $t$, made of a sequence of functions $f_I$, that translates any instance of the source scheme into a valid instance for the target scheme.

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Algorithm 1

**Input:** A scheme $S_s$ of a model $M_s$, the residual $m_s$ of $S_s$ (if available), a library of procedures $L = \{p_1[f_1^S, f_1^I], \ldots, p_k[f_k^S, f_k^I]\}$, and the target model $M_t$

**Output:** A transaction $t$, a scheme $S_t$ for $M_t$, and the residual $m_t$ of $S_t$

**begin**

1. Set a temporary scheme $S$ to the source scheme $S_s$;
2. Set $t$ to the empty transaction;
3. while there is a primitive $C$ in $S$ such that the corresponding metaprimitive $C$ is not allowed in $M_t$ do

4. if there exists a procedure $p_i[f_i^S, f_i^I]$ in $L$ such that $f_i^S$ translates $C$ to a metaprimitive (or a set thereof) allowed in $M_t$

5. then /* direct translation */
6. $S = f_i^S(S)$; /* apply $f_i^S$ to $S$ */
7. add to $m_t$ the residual generated by $f_i^S$;
8. $t = t, f_i^I$; /* append $f_i^I$ to $t$ */
9. else

10. if there exists a procedure $p_i[f_i^S, f_i^I]$ in $L$ such that $f_i^S$ translates $C$ to a metaprimitive (or a set thereof) not allowed in $M_t$

11. then /* try to find an intermediate translation */
12. $S = f_i^S(S)$; /* apply $f_i^S$ to $S$ */
13. add to $m_t$ the residual generated by $f_i^S$;
14. $t = t, f_i^I$; /* append $f_i^I$ to $t$ */
15. else
16. abort the translation and notify the user;

end while

17. $S_t = S$; /* $S$ becomes the target scheme */

**end**

**Fig. 4.** The translation algorithm
There are a number of important aspects to point out about this algorithm. First, in step (4) it may happen that more than one procedure available in \( L \) can perform the needed translation. For instance, it is well known that there are several ways to translate generalizations into other primitives. A possible solution in this case is the introduction of a request for user intervention in order to make a choice between the various possibilities. Also, ambiguity can be solved by introducing a (partial) preference order between procedures.

Another point is in step (10): a scheme translation function \( f_S \) could translate a metaprimitive \( C \) into a metaprimitive that is not allowed in \( M_t \). The rationale here is that if we are not able to translate directly into \( M_t \), we try to translate \( C \) into an intermediate metaprimitive that is not allowed in the target model but for which there could exist a translation towards the target. Consider for instance the translation from the Entity-Relationship model into a DTD representation. In this case, generalizations can be first translated into relationships (which are not directly representable in a DTD). Then, relationships can be easily translated into elements and attributes of a DTD. It is easy to see however that, proceeding in this way, we can enter into infinite loops. In order to prevent this situation, the method verifies whether the selected procedure introduces a metaconstruct that has been previously deleted. This can be done by analyzing the residual generated until that point.

It’s important to note that a procedure translating a metaprimitive does not always requires a data translation. Assume, for example, that we need to translate a scheme \( S \) with a cardinality constraint of type \((1,10)\) to a model that allows only cardinalities of the form \((1,1), (1,n)\) and \((0,n)\). In this case \( S \) needs to be modified but this change does not affect data. On the other hand, many metaprimitives need data manipulation, like the creation of identifiers.

As a final comment, we note that this main algorithm can be improved in several points. In particular, a final optimization step can be introduced on the output transaction by eliminating redundant or useless functions and by finding a better execution order. This is subject of current work.

### 3.2 Basic procedures

In this section we illustrate some examples of basic procedures used by the Translation Algorithm reported in Figure 4. They are used within the super-model, where the system matches models definition and selects metaprimitives to be transformed, as described in Algorithm 1. We recall that each procedure is composed by two functions, one operates at scheme level and the other at instance level.

1. **Nesting of complex elements.** This procedure nests elements according to referential integrity constraints between them.

   \( f_S \): it nests an element definition \( E_1 \) into another element definition \( E_2 \), deletes the corresponding integrity constraint, and stores the performed translation in the residual.
$f_1$: it groups and nests instances of $E_1$ into the corresponding instance of $E_2$ and deletes the reference between them.

2. **Unnesting of complex elements.** The procedure flats nested elements and introduces integrity constraints between them.
   - $f_S$: it unnests a complex element $E_1$ nested into another element $E_2$ by moving the definition of $E_1$ at the same level of $E_2$ and introducing a foreign key between them. It also stores the performed translation in the residual.
   - $f_I$: it moves instances of $E_1$ outside the instance of $E_2$.

3. **Key creation.** It generates identifiers for elements making use of Skolem functors [14] if the element contains at least an atomic element or an attribute, otherwise making use of counters.
   - $f_S$: it adds a key constraint $K$ to an element $E$ and stores the performed translation in the residual.
   - $f_I$: it invents a value for each instance of $E$ using either a Skolem functor or a counter, and assigns it to the instance as unique identifier.

4. **Add/Remove namespaces.** This pair of procedures add/remove information on the domain of the names used in a scheme.
   - $f_S$: it adds/deletes the namespace definition, retrieving/storing this information from/in the residual.
   - $f_I$: it does nothing on instances.

5. **Cardinality range extension.** As we have said in Section 2, cardinalities are used at different levels of precision in the various models. This procedure changes the actual value of a cardinality to an undefined value and has no effect on instances.
   - $f_S$: it changes the cardinality definitions from a number, different from 0 or 1, to the undefined value N and stores information on the old values in the residual.
   - $f_I$: it does nothing on instances.

6. **Cardinality range restriction.** Differently from the previous procedure, this procedure implies some involved transformation on the instances. As an example, consider the transformations needed to convert an n-ary relationship to a binary one.
   - $f_S$: it changes values that express cardinality in the element definition and stores information on the deleted values in the residual.
   - $f_I$: it applies transformation on the instances of the elements with the modified cardinality, grouping and splitting element instances according to the new values.

7. **Transformation of ordered sequences in unordered ones.** The procedure performs the translation adding a new attribute that codes the order.
   - $f_S$: it changes the ordered sequence definition to unordered, introduces a new attribute and stores the performed translation in the residual.
   - $f_I$: it adds an atomic element that takes an integer coding the original position on the element in the ordered sequence.

8. **Transformation of generalization hierarchies.** The procedure removes generalizations and translates them in other primitives.
   - $f_S$: there are several ways to translate generalizations (e.g., using relation-
ships or grouping elements) and the user can choose the preferred one. The procedure stores information on the performed translation and on the removed elements in the residual.

$f_I$: it performs modification on the instances according to the choice done at scheme level.

9. **Add generalization.** This procedure adds the definition of a generalization making use of residual information of the scheme (if any).

$f_S$: it adds a generalization between elements making use of information stored in the residual.

$f_I$: it adds a generalization instance for each set of element instances that share the same identifier.

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**Scheme unnesting**

Input: A scheme $S_s$ with nested elements and its residual

Output: A scheme $S_t$ without nested elements and its residual

begin
  set $S$ to the empty scheme;
  for each element $ex$ in $S_s$ do
    if $ex$ is a complex element then
      case $ex$ of
        is nested in a complex element $ec$:
          copy $ex$ in $S$ outside $ec$;
          add to $ex$ a foreign key $kr$ for $ec$;
          copy $ex$ in $S$;
        end case
      else if $ex$ is atomic then
        case $ex$ of
          is nested in a complex element $ec$:
            copy $ex$ in $S$ outside $ec$;
            is not nested:
            copy $ex$ in $S$;
        end case
      end case
    end for
    eliminate intermediate elements;
    $S_t = S$; /* $S$ becomes the target scheme */
  end

---

**Data unnesting**

Input: An instance $I_s$ with nested data, the scheme $S_s$ of $I_s$

Output: An instance $I_t$ without nested data

begin
  set $I$ to the empty instance;
  for each element $ex$ in $I_s$ do
    if $ex$ is a complex element then
      case $ex$ of
        is nested in a complex element $ec$:
          copy each occurrence of $ex$ in $I$ outside $ec$;
          add to each occurrence of $ex$ the key of $ec$;
          is not nested and contains an atomic element $ea$:
          copy each occurrence of $ex$ in $I$;
        end case
      else if $ex$ is atomic then
        copy in $I$ each occurrence of $ea$;
      end for
    eliminate intermediate elements;
    $I = I$; /* $I$ becomes the target instance */
  end

---

**Fig. 5.** An example of basic procedure

As a concrete example, we now present in more detail the unnesting procedure. Unnesting is a rather common issue in data conversion: how to flat a nested scheme arises when, for instance, we need to store XML data into a relational database. This problem has been largely debated in the literature [12]. Here, we just show intuitive algorithms, based on combination of elementary operations over XML data. All the complex elements must contain an identification key, or have to preliminary be processed by the key creation procedure. With this approach the unnesting translation is completely reversible: system just needs to apply the nesting procedure to returns the original scheme and data. The first function, in the left hand side of Figure 5, takes as input a scheme $S_s$ and outputs a scheme $S_t$, where nested elements are converted into flat ones. The second, in right hand side of the same figure, works on data: takes as input an instance $I_s$ of the scheme $S_s$ and outputs an instance $I_t$ of the scheme $S_t$. 
4 An example of translation

In this section we present a complete translation from the XML Schema model to the relational model. The input instance and the corresponding scheme are reported in Figure 6.

![XML Schema](image1)

**Fig. 6.** An XML schema and one of its instances

The source scheme is transformed in the supermodel scheme reported in the left hand side of Figure 7. The system applies to this scheme the Translation Algorithm reported in Figure 4.

![Relational Model](image2)

**Fig. 7.** The translation of the scheme in Figure 6 in the Supermodel

The system performs three main transformations:
– the creation of a key for the elements not having it;
– the unnesting of elements using the procedure described in Section 3.2;
– the transformations of ordered sequences into unordered ones (that become tables in the target model);

The result scheme is reported on the right hand side of Figure 7. At the end of the algorithm, the system renames primitives generating the target scheme in relational format reported in Figure 8. Finally, the translation is applied to the source instance $I_s$, generating the target data in Figure 8, ready to be serialized into a database.

Fig. 8. The final result of the translation of the scheme and the instance in Figure 6

5 Discussion and future work

In this paper, we have presented an approach to the translation of Web data between heterogeneous formats. This translation operates over a XML representation of data and is derived by combining a number of predefined basic functions performing XML transformations.

It should be said that a number of conceptual aspects related to data translations have not been addressed in this paper. In particular, the analysis of translation quality. In [2, 3] we have proposed several properties that “good” translations should enjoy. The more relevant are correctness and minimality. The former establishes that the output is valid in the target model, the latter expresses the fact that does not exist shorter translations. We are currently studying how these properties can be verified in the framework presented here.

From a practical point of view, we are currently extending our tool (whose preliminary version has been presented in [22]) with the proposed approach for
data translation. Different implementations are under development. One of these solutions is a combination of XQuery and DOM. The tool manages schemes translations between models using DOM representations and performs XML data transformations by means of iterative queries expressed in XQuery over materialized temporary results. The tool is completely modular, so we are also implementing basic procedures in XSLT [8]. At the moment, the tool is able to fully translate schemes and data between various formats (XML Schema and some of its dialects [15], DTD, Entity-Relationship, Relational) and we are extending the tool with other models for Web data (Araneus [17] and WebML [7]).

We intend to improve the technique presented in this paper. We are particularly interested in the introduction of an optimization phase to be performed over data translation, which is clearly the most expensive task.

References


