Memory Management

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

summary

- goals and requirements
- techniques that do not involve virtual memory

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

memory management

- tracking used and free memory
- primitives
  - allocation of a certain amount of memory
  - de-allocation of what allocated or garbage collectors, permit reuse of de-allocated memory
- memory used for...
  - data structures (e.g. array, objects, ecc.)
    - kernel data structures (allocator implemented in the kernel)
    - process data structures (allocator implemented by language runtime libraries, e.g. C/C++ malloc)
  - processes (within an O.S.)

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

memory management within the o.s.

- subdividing memory to accommodate multiple processes
- memory needs to be allocated to ensure a reasonable supply of ready processes to consume available processor time
- needs for memory are hard to know before run
  - usually dynamically allocated to processes upon request (requires a complex management system)

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

summary and applicability

- many techniques and concepts in memory management equally apply to memory allocation for processes and for data
  - fixed partitioning, dynamic compaction, fragmentation, placement algorithms, buddy system
  - we talk about a “process” but it may be any kind of data
- hardware supported techniques apply only to processes
  - virtual memory, paging, segmentation

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

memory management techniques that do not involves virtual memory

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

Fixed Partitioning

- Equal-size partitions
  - Any process or data whose size is less than or equal to the partition size can be loaded into an available partition
  - If all partitions are full, the operating system can swap a process out of a partition
  - A process/data may not fit in a partition.
    - For processes, the programmer must design the program with overlays
  - still used in hard disk partitioning
    - LVM overcome such limitation (linux)

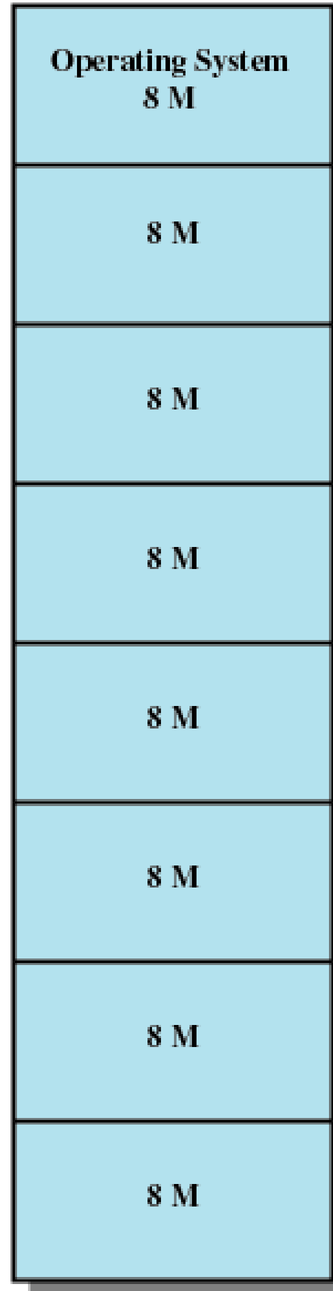
© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

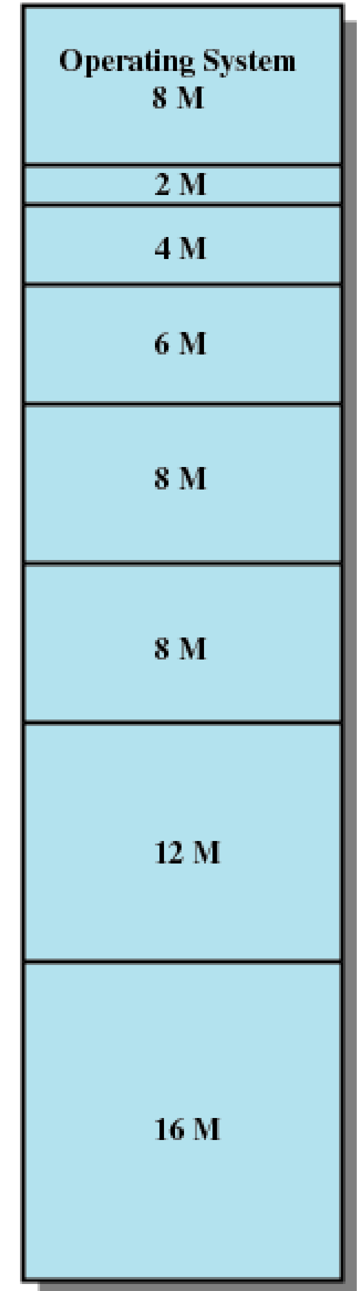
Fixed Partitioning

- Main memory use is inefficient. Any program, no matter how small, occupies an entire partition. This is called **internal fragmentation**.

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

partitions size





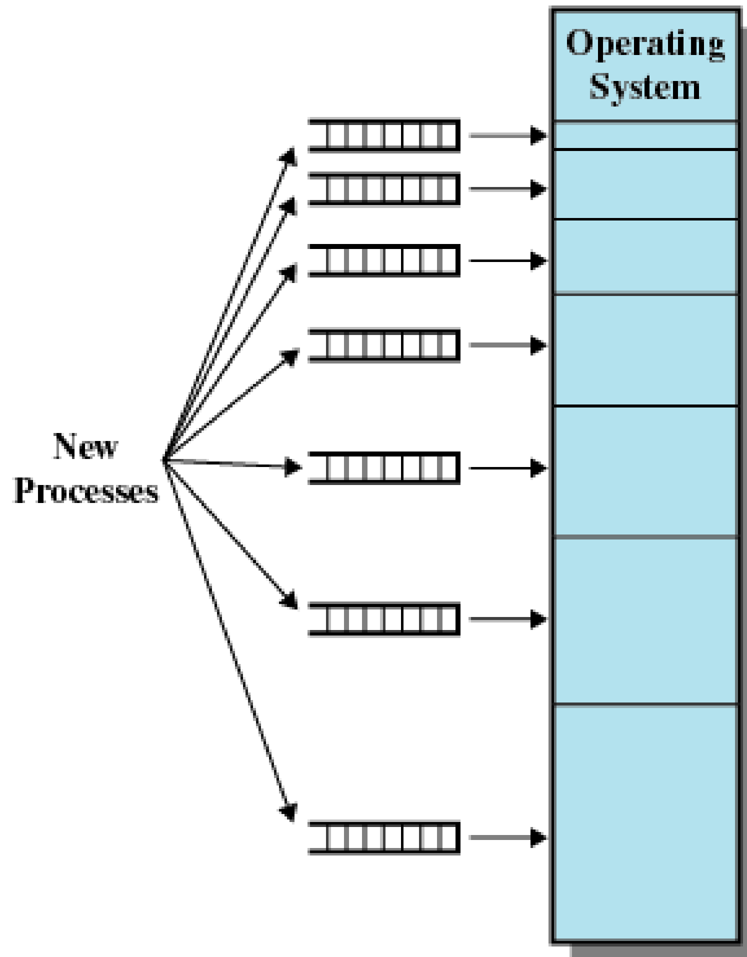
© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

Placement Algorithm with Partitions

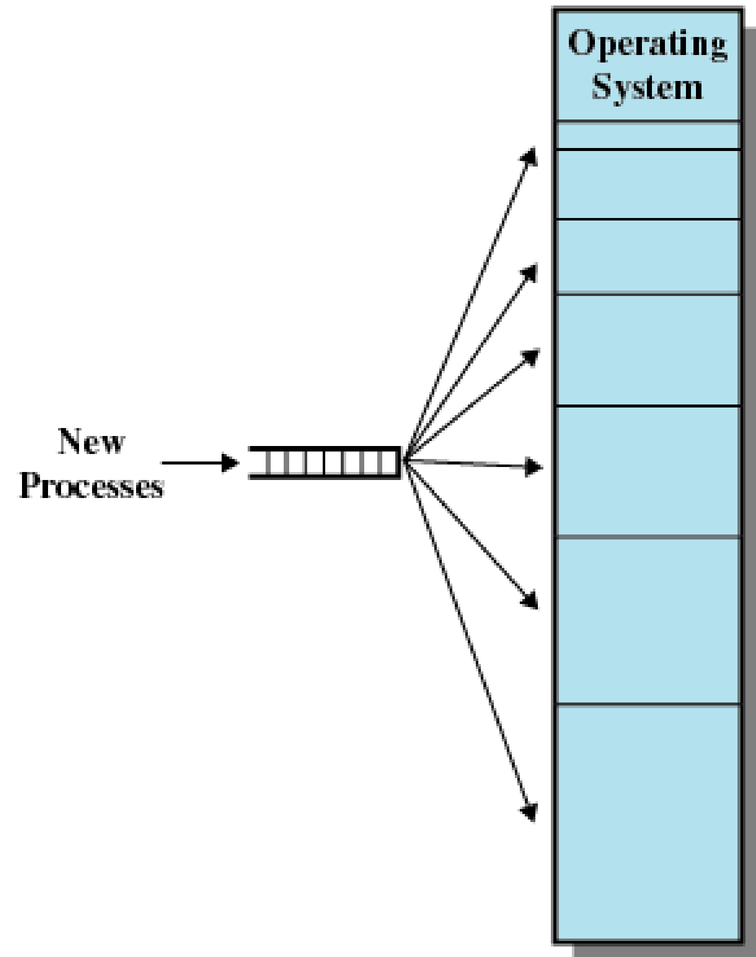
- Equal-size partitions
  - Because all partitions are of equal size, it does not matter which partition is used
- Unequal-size partitions
  - Can assign each process to the smallest partition it will fit into
  - Queue for each partition
  - Processes/data are assigned in such a way as to minimize wasted memory within a partition

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

Placement Algorithm with Partitions



(a) One process queue per partition



(b) Single queue

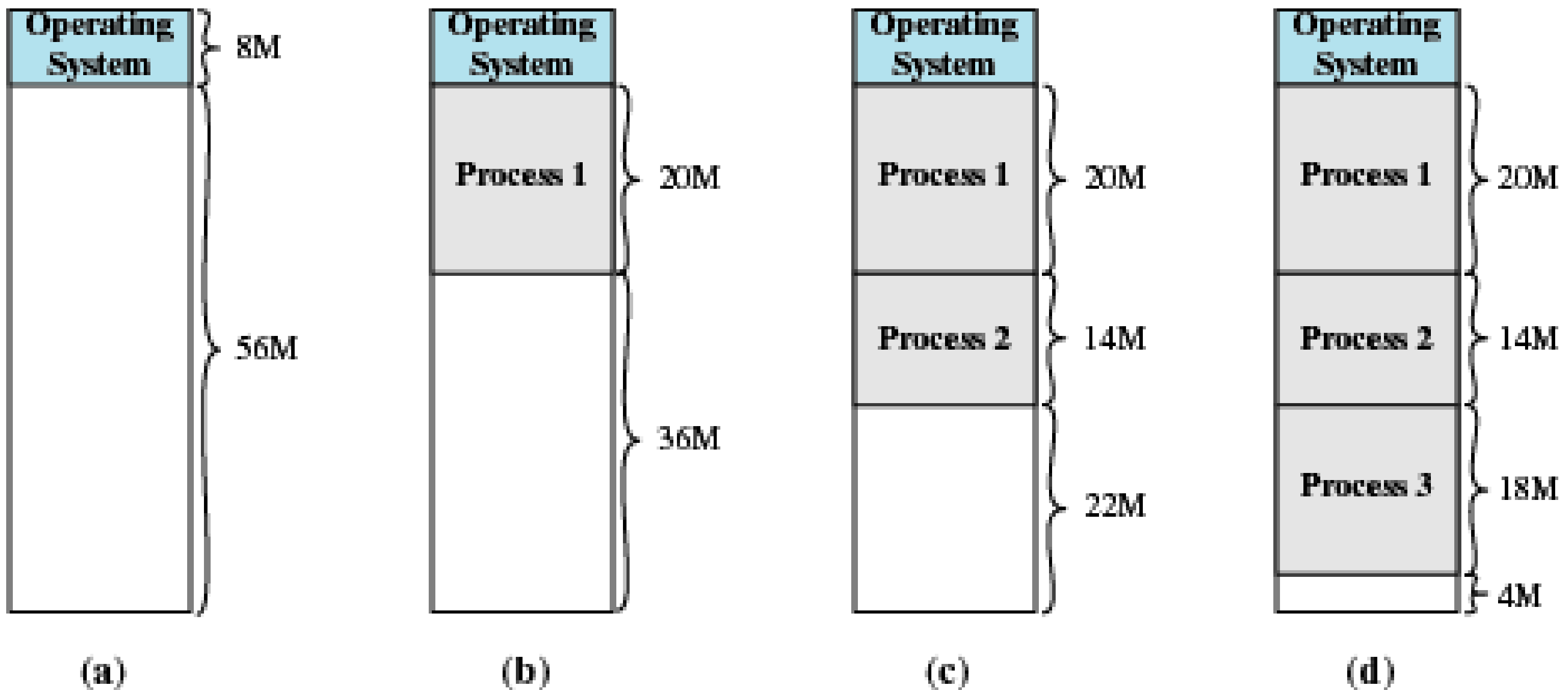
© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

dynamic partitioning and compaction

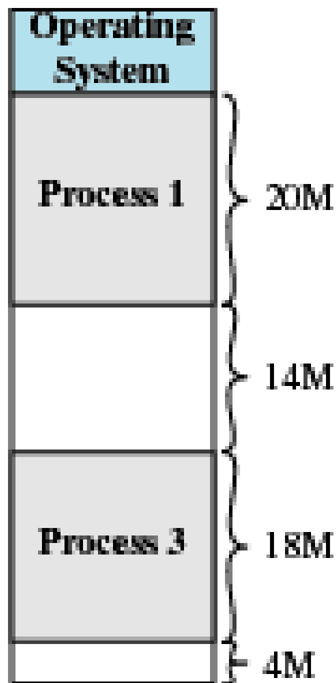
- Partitions are of variable length and number
- Process/data is allocated exactly as much memory as required
- Eventually get holes in the memory. This is called **external fragmentation**
- Must use **compaction** to shift processes so they are contiguous and all free memory is in one block
  – in the general case compaction may be unfeasible (e.g. for C/C++ memory allocators)

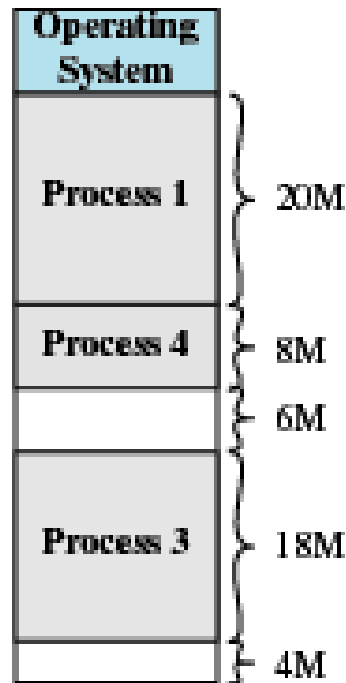
© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

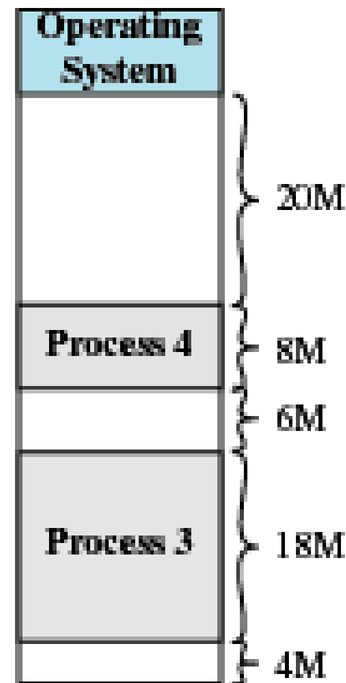
external fragmentation



external fragmentation







© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

Dynamic Partitioning Placement Algorithm

- Operating system must decide which free block to allocate to a process
- Best-fit algorithm
  - Chooses the block that is closest in size to the request
  - Worst performer overall
    - since smallest block is found for process, the smallest amount of fragmentation is left
  - Memory compaction must be done more often

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

Dynamic Partitioning Placement Algorithm

- First-fit algorithm
  - Scans memory form the beginning and chooses the first available block that is large enough
  - Fastest
  - May have many process loaded in the front end of memory that must be searched over when trying to find a free block

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

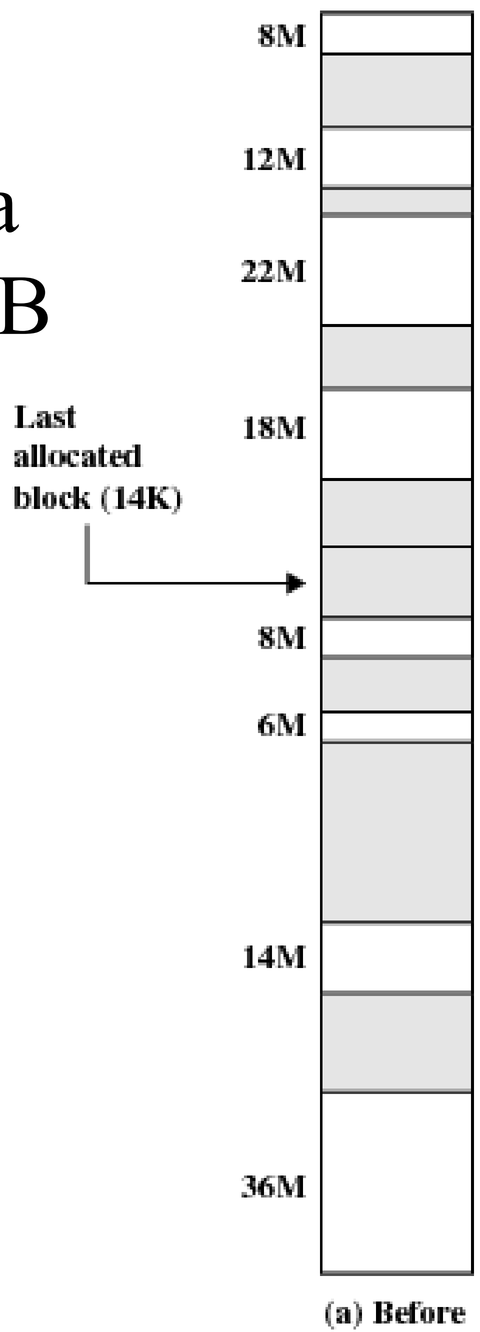
Dynamic Partitioning Placement Algorithm

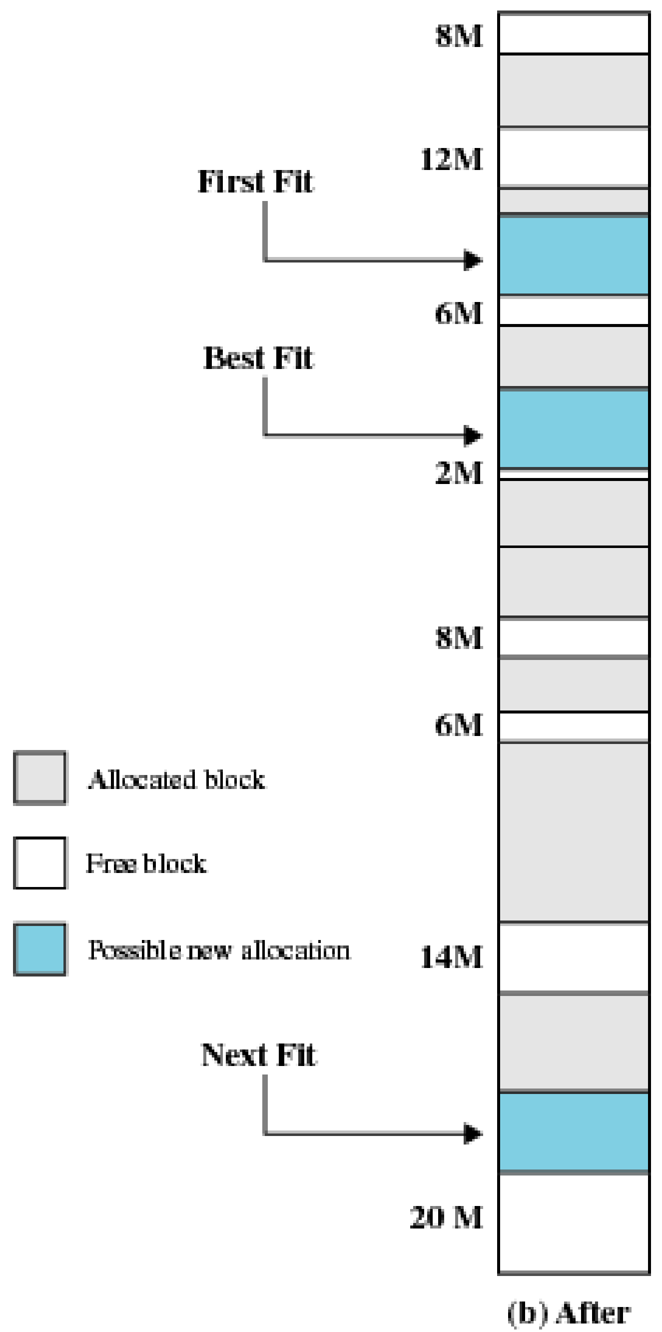
- Next-fit
  - Scans memory from the location of the last placement
  - More often allocate a block of memory at the end of memory where the largest block is found
  - The largest block of memory is broken up into smaller blocks
  - Compaction is required to obtain a large block at the end of memory

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

examples

- allocation of a block of 16MB





© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

Buddy System

- simple but powerful allocator
- widely used in O.S. to allocate large chunks of fixed size
  - e.g. 4KB pages in today architectures (x86_32)
  - no fragmentation in this case
- it can be used as a base for a more fine grainded allocator
  - which is called slab allocator in Linux and Sun Solaris and is used for kernel data structures

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

Buddy System

- entire space available is treated as a single block of 2^U
- a request of *s* bytes returns a block of ceil($\log_2 s$) bytes
  - if a request of size *s* such that $2^{i-1} < s <= 2^i$, a block of length 2^i is allocated
  - a 2^i block can be split into two equal **buddies** of 2^{i-1} *bytes*
  - for each request a "big" block is found and split until the smallest block greater than or equal to *s* is generated

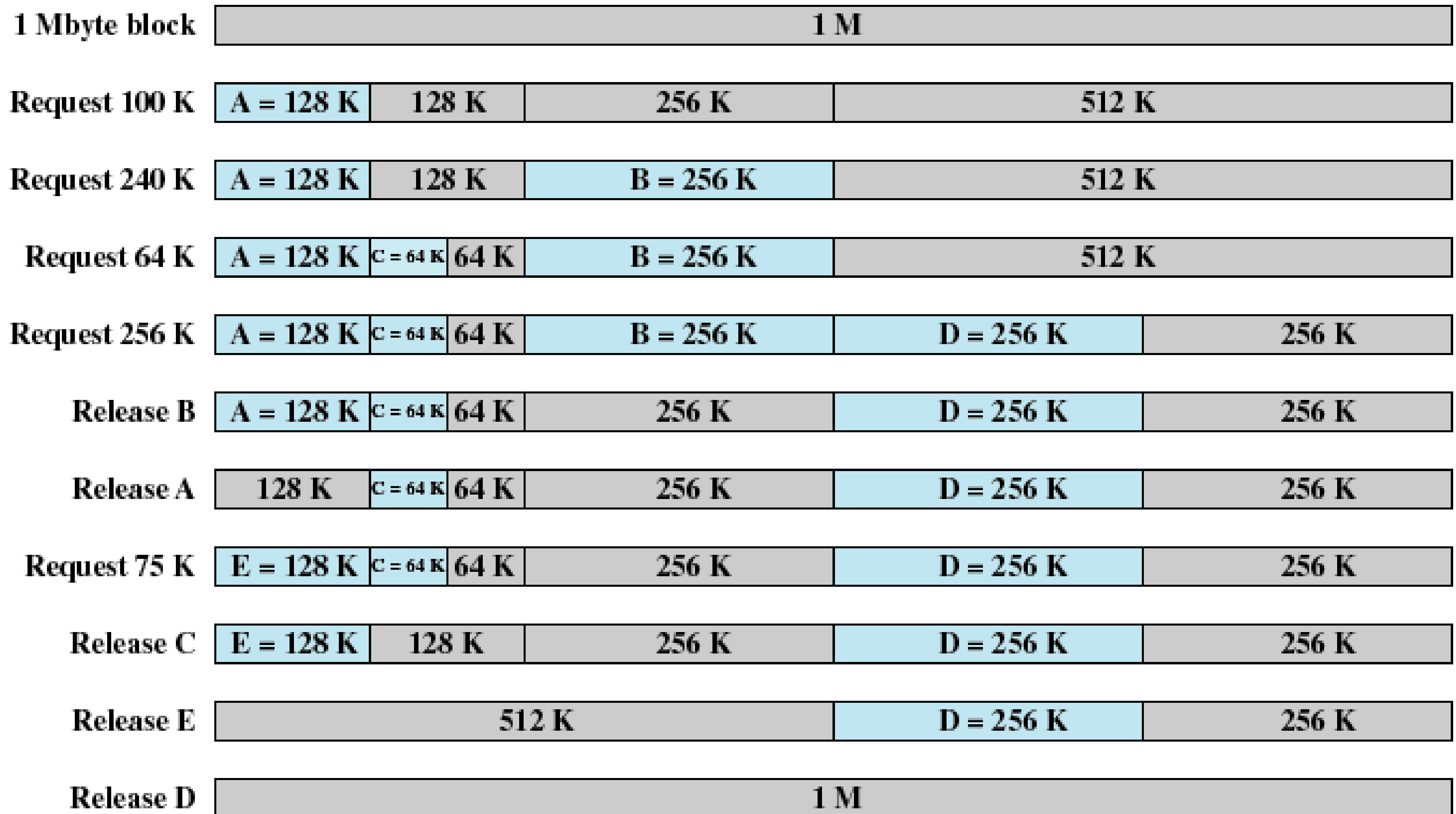
© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

Buddy System

- it maintains a lists L_i ($i=1..U$) of unallocated blocks (*holes*) of size 2^i
  - splitting: remove a hole from L_{i+1} split it, and put the two buddies it into L_i
  - coalescing: remove two unallocated buddies from L_i and put it into L_{i+1}

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

buddy system: example



© 2004 - 2006 william stallings, maurizio pizzonia - sistemi operativi

Buddy System

procedure **get_hole**

- input: i (precondition: $i \le U$)
- output: a block c of size 2^i (postcondition: L_i does not contain c)

if (L_i is empty)

< b= get_hole(i+1);

< split b into two buddies b_1 and b_2>

< put b_1 and b_2 into L_i>

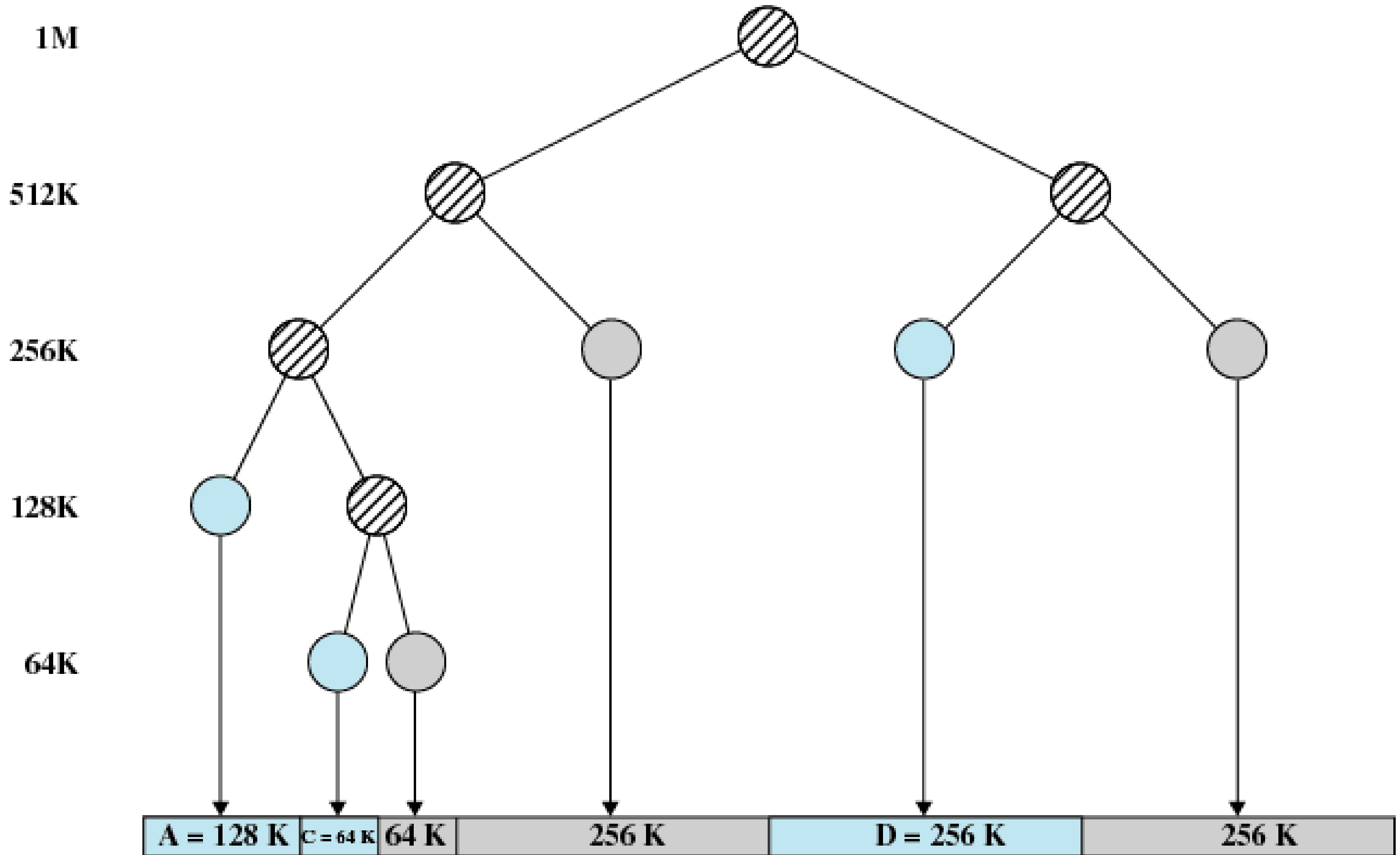
c= < first hole in L_i>

<remove c form L_i>

return c

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

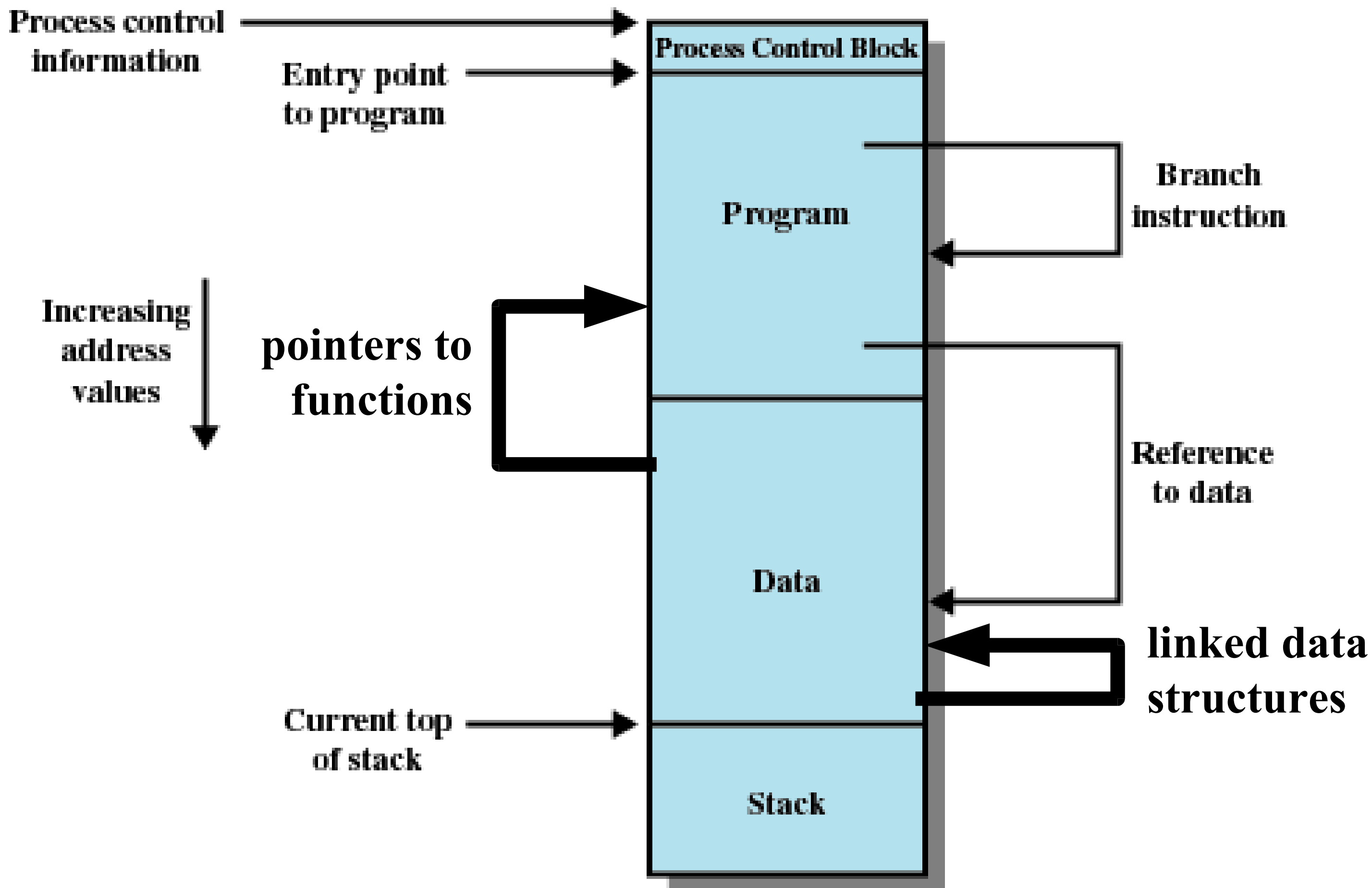
buddy system: tree representation



© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

memory management requirements for processes

- relocation



© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

memory management requirements for processes

- relocation for processes
  - programmer does not know where the program will be placed in memory when it is executed
  - while the program is executing, it may be swapped to disk and returned to main memory at a different location (relocated)
  - memory references in the code must be translated to actual physical memory address
- relocation for data is hard
  - data created by process, relocation performed by OS, need for a standard, never done

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

memory management requirements for processes

- protection
  - processes should not be able to reference memory locations in another process without permission
  - references **must** be checked at run time
    - impossible to check memory references at compile time (may directly depend on the input)
    - exercise: given a generic input and program prove that reference check is not computable! (reduce stopping problem to it)
  - memory protection requirement must be satisfied by the processor (hardware) rather than the operating system (software)
    - Operating system cannot anticipate all of the memory references a process will perform

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

memory management requirements for processes

- sharing
  - allow several processes to access the same portion of memory
  - better to allow each process access to the same copy of the program rather than have their own separate copy

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

memory management requirements for processes

- logical organization
  - programs are written in modules
    - sw engineering reasons: divide the responsibility for development, maintenance, testing, ecc
  - modules can be written and compiled independently
  - different degrees of protection given to modules (read-only, execute-only)
  - share modules among processes

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

memory management requirements for processes

- physical organization
  - memory available for a program plus its data may be insufficient
    - overlaying allows various modules to be assigned the same region of memory
  - programmer does not know how much memory will be available

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

relocation

- a process may occupy different partitions, which means different absolute memory locations
- when a program is loaded into memory the absolute memory locations are determined
  - different execution may lead to different locations
- on-the-fly relocation during execution
  - swap out and swap in
  - compaction of allocated partitions

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

addresses in the program

- Physical
  - The absolute address or actual location in main memory
- Logical
  - Reference to a location in a “logical” memory independent of the current assignment of data to memory
  - Translation must be made to the physical address by the hardware (MMU)
- Relative (logical or physical)
  - Address expressed as a location relative to some known point

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

hardware support for relocation

- Base register
  - Starting address for the process
- Bounds register
  - Ending location of the process
- These values are set when the process is loaded or when the process is swapped in

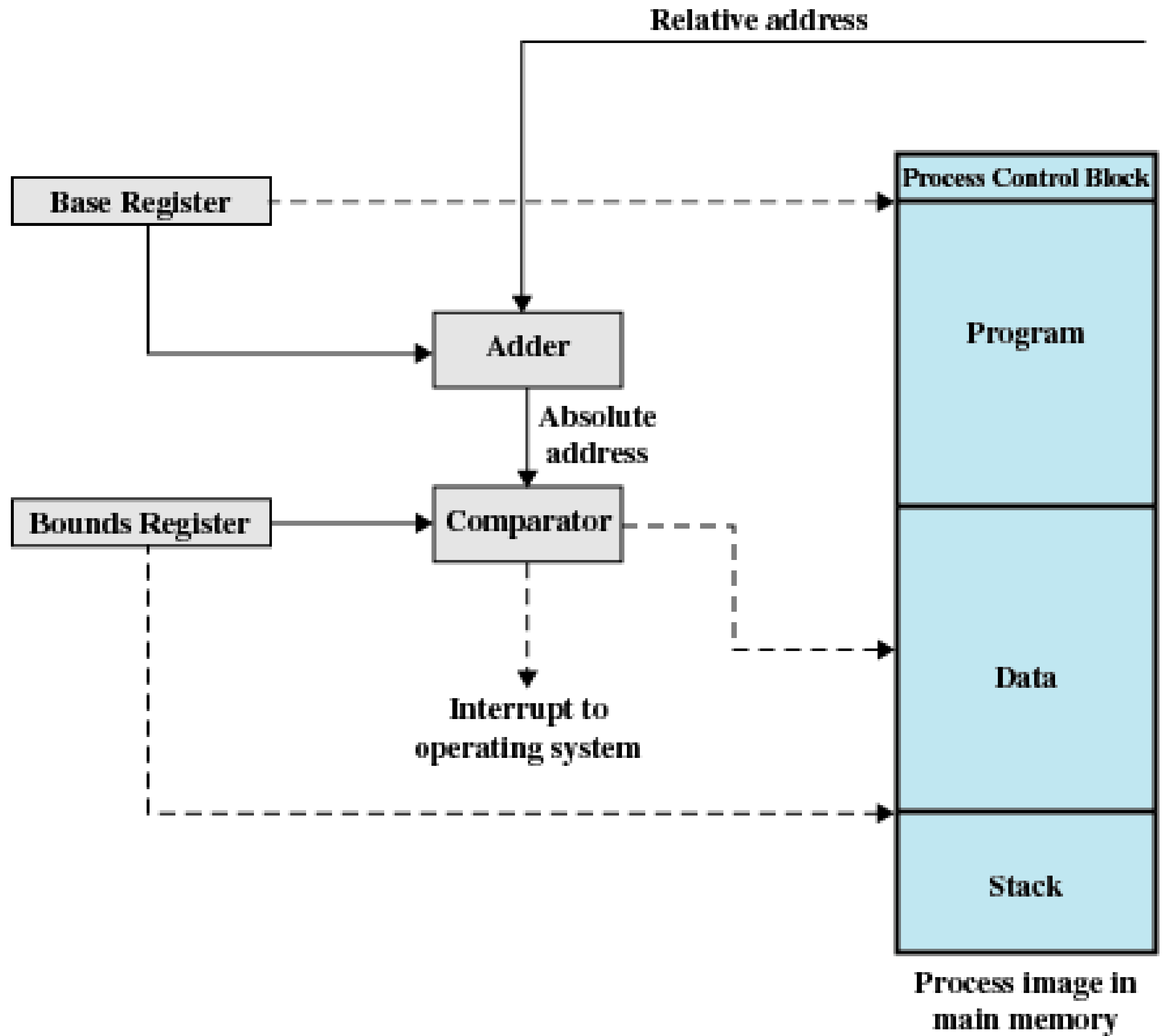
© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

hardware support for relocation

- The value of the base register is added to a relative address to produce an absolute address
- The resulting address is compared with the value in the bounds register
- If the address is not within bounds, an interrupt is generated to the operating system
- If the address is ok it is used to access memory
- relocation is performed by setting appropriate value in the registers

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

hardware support for relocation



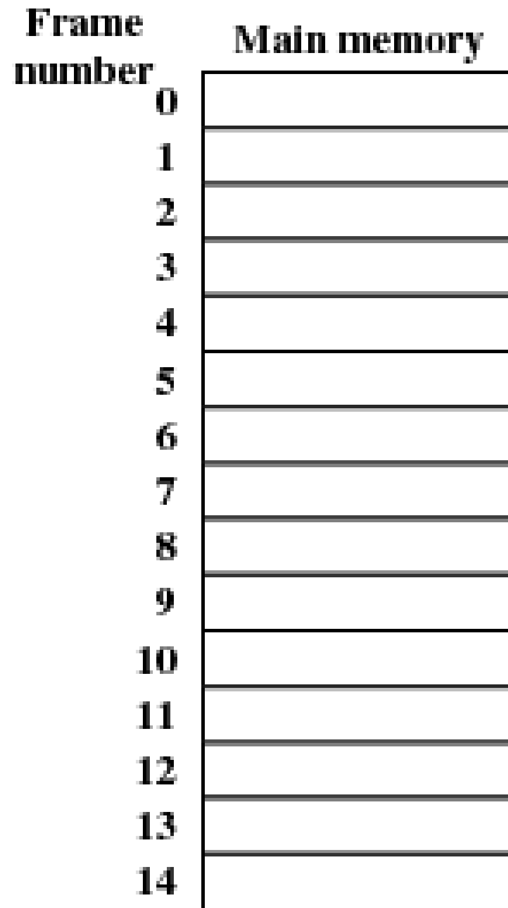
© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

Paging

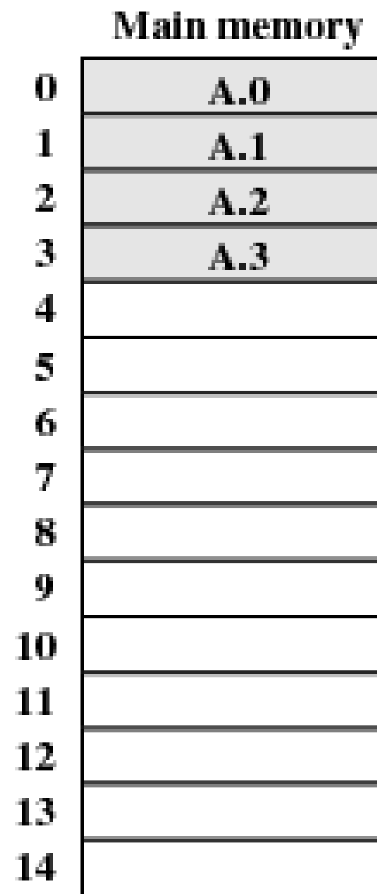
- Partition memory into small equal fixed-size chunks and divide each process into the same size chunks
- The chunks of a process are called **pages** and chunks of memory are called **frames**
- Operating system maintains a **page table** for each process
  - Contains the **frame location for each page** in the process
  - **Memory address consist of a page number and offset within the page**

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

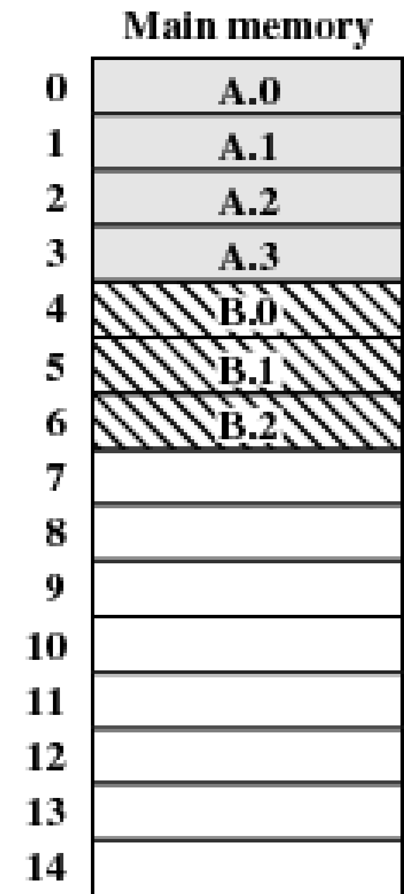
Assignment of Process Pages to Free Frames



(a) Fifteen Available Frames



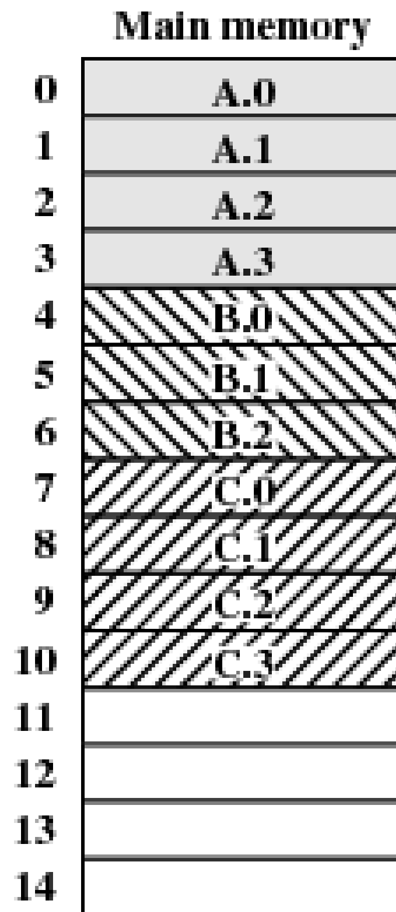
(b) Load Process A



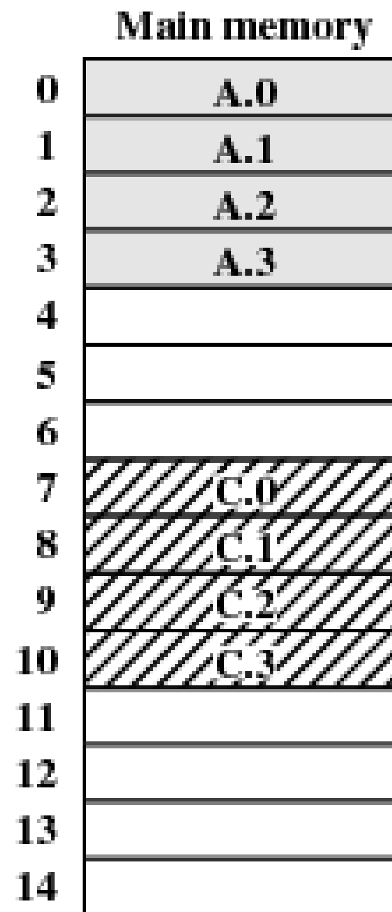
(c) Load Process B

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

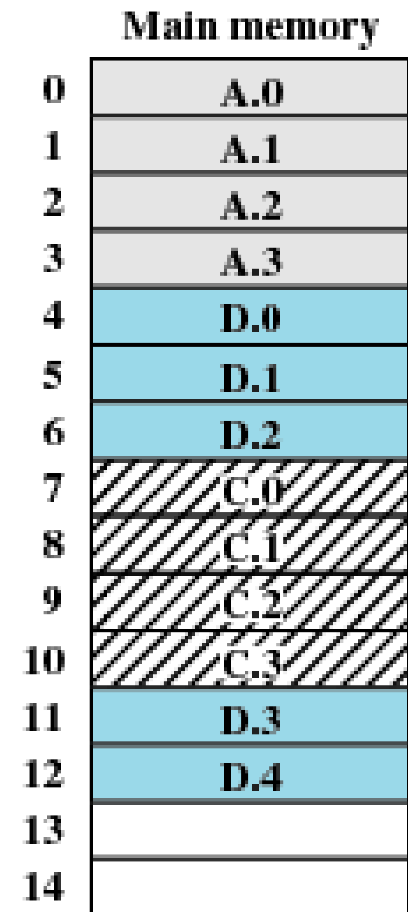
Assignment of Process Pages to Free Frames



(d) Load Process C



(e) Swap out B



(f) Load Process D

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

Page Tables

0	0
1	1
2	2
3	3

Process A page table

0	Ñ
1	Ñ
2	Ñ

Process B page table

0	7
1	8
2	9
3	10

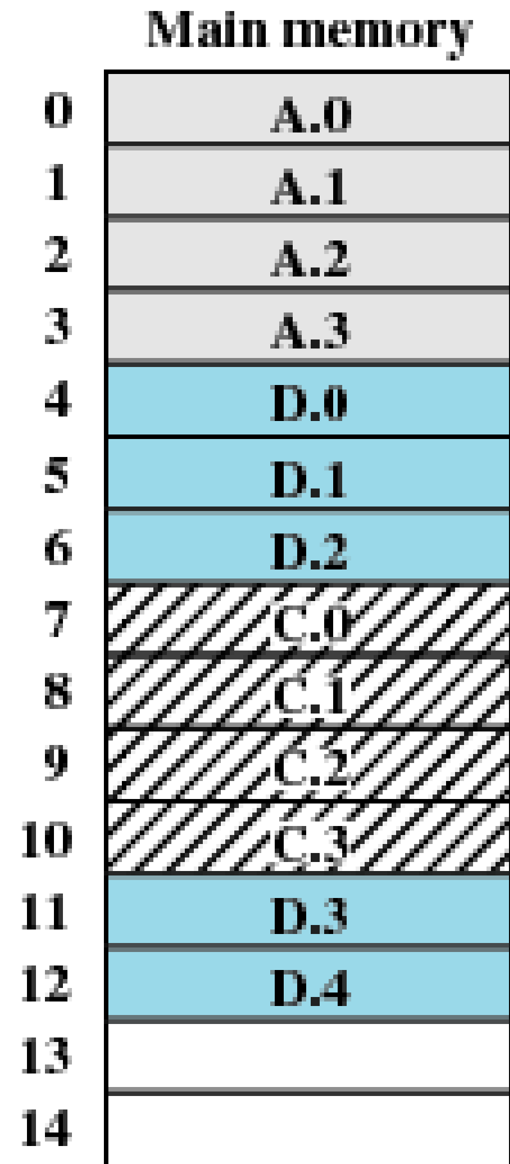
Process C page table

0	4
1	5
2	6
3	11
4	12

Process D page table

13
14

Free frame list



(f) Load Process D

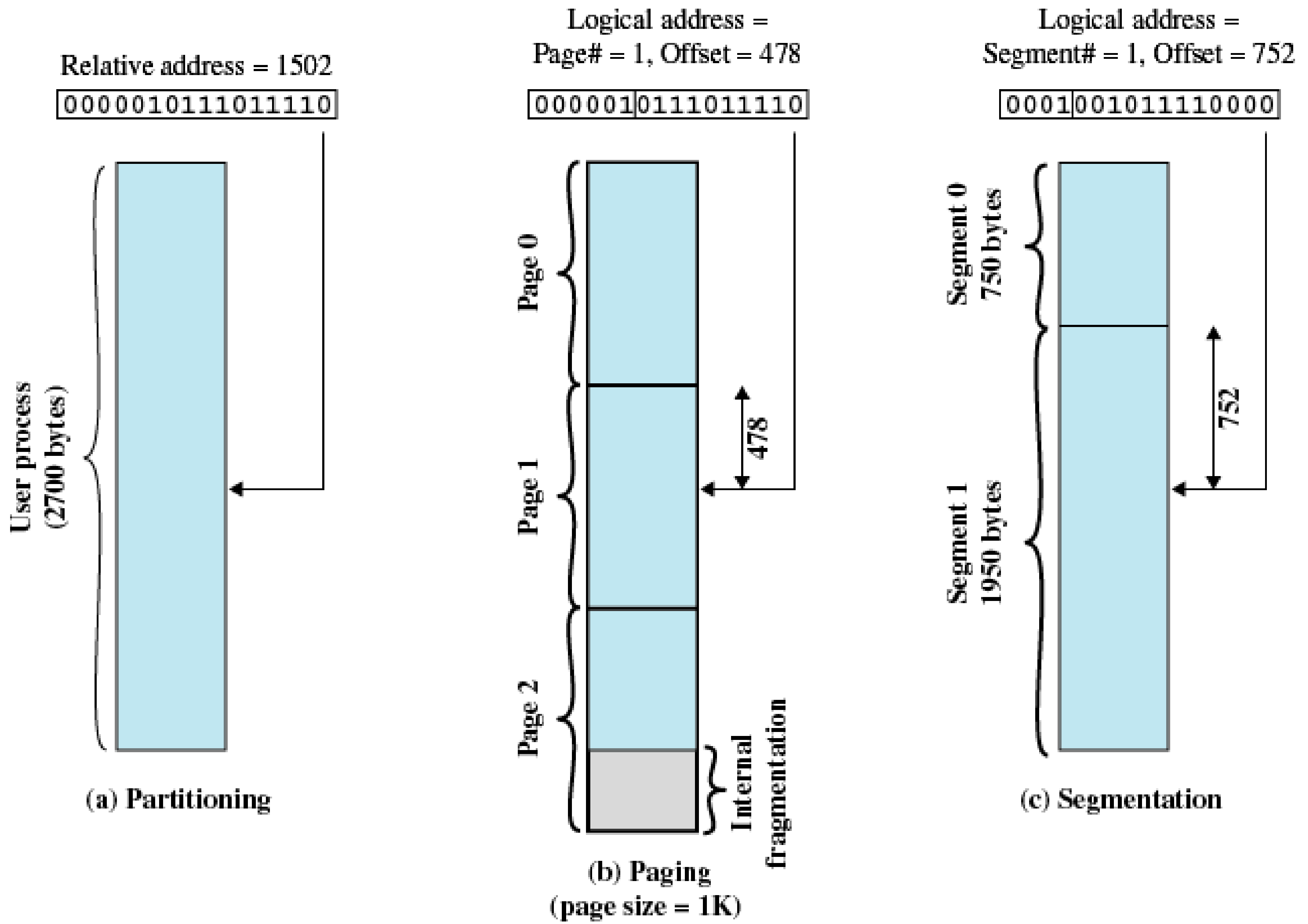
© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

Segmentation

- All segments of all programs do **not** have to be of the **same length**
- There is a maximum segment length
- Addressing consist of two parts - a **segment number and an offset**
- Since segments are not equal, segmentation is similar to dynamic partitioning

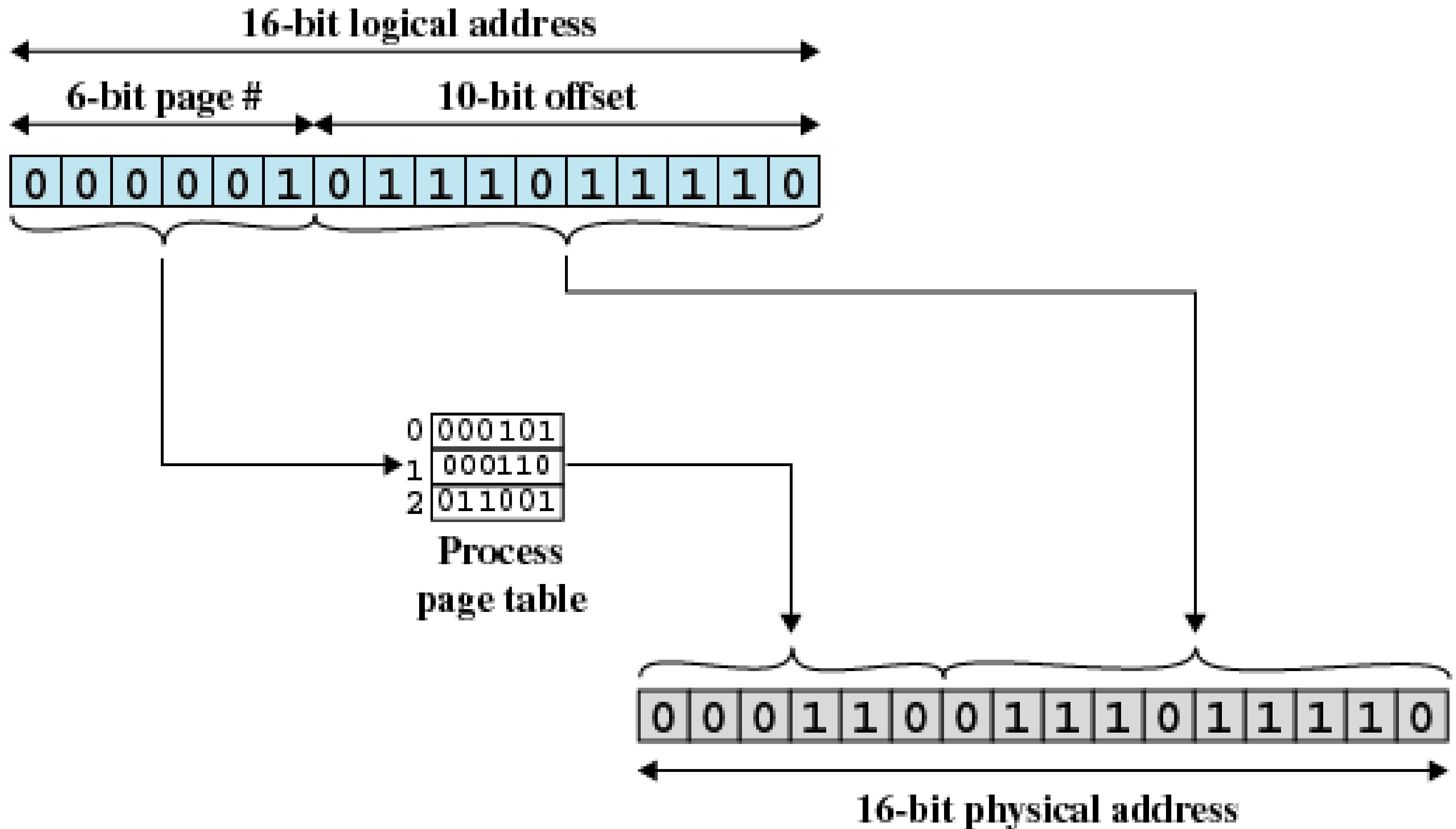
© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

paging vs. segmentation



© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

logical to physical translation
paging

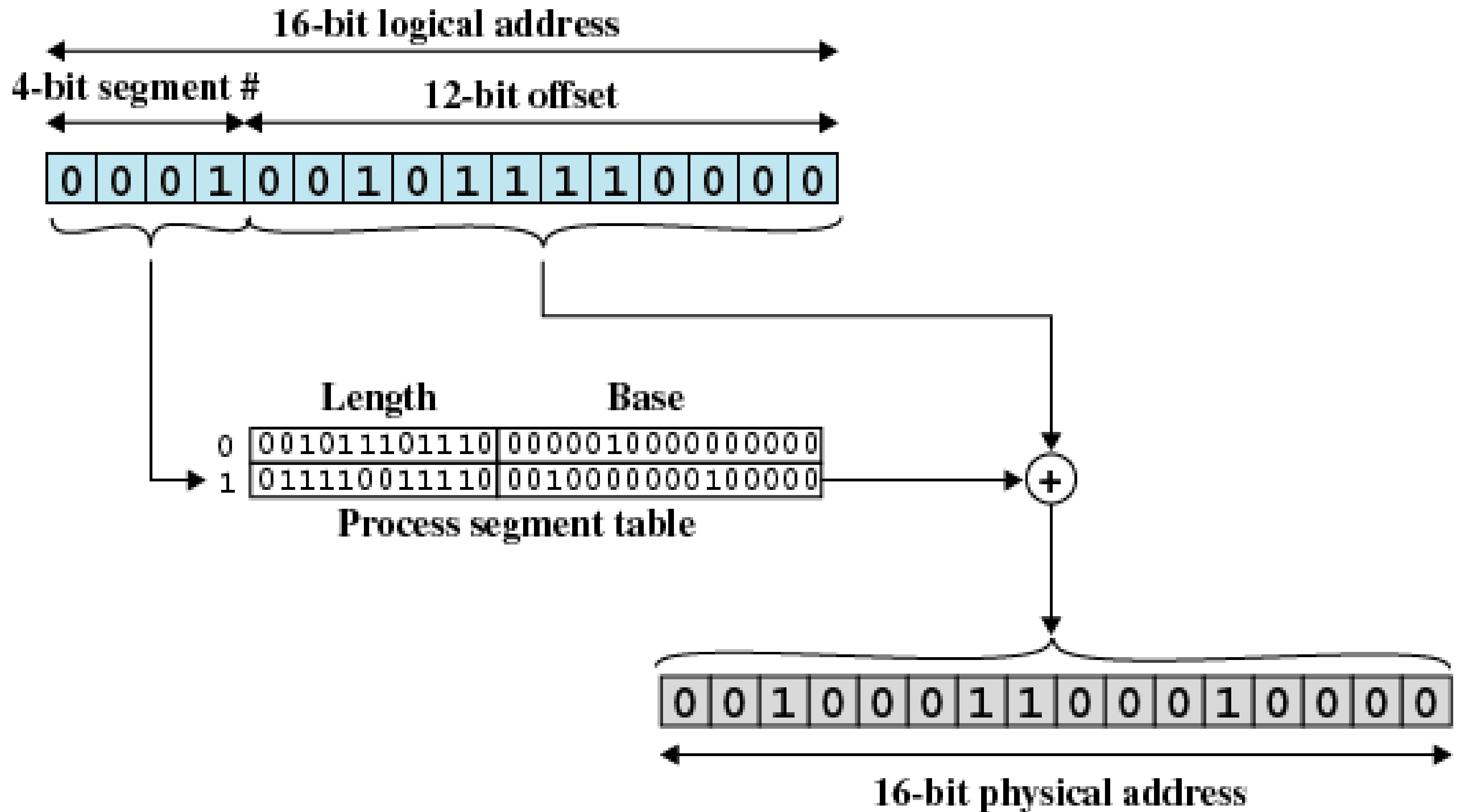


(a) Paging

© 2004 - 2008 william stallings, maurizio pizzonia - sistemi operativi

logical to physical translation

segmentation



(b) Segmentation